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UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

STEM, INC.,
a Delaware Corporation,

Plaintiff,

vs.

SCOTTSDALE INSURANCE
COMPANY, an Ohio Corporation,

Defendant.

CASE NO. 3:20-cv-02950-CRB

Assigned to: Honorable Charles R. Breyer

**APPENDIX OF EXHIBITS IN
SUPPORT OF PLAINTIFF'S MOTION
FOR PARTIAL SUMMARY
JUDGMENT REGARDING
SCOTTSDALE'S DEFENSE
OBLIGATION**

Date: April 29, 2021
Time: 10:00 a.m.
CTRM: 6

<u>EXHIBIT</u>	<u>DESCRIPTION</u>	<u>PAGE NO.</u>
A	Scottsdale 2013-2014 Policy	001-051
B	Scottsdale 2016-2017 Policy	052-097
C	Complaint in the Underlying Shareholder Lawsuit, filed on May 12, 2017	098-117
D	Tender to Scottsdale dated June 2, 2017	118-119
E	Denial Letter from Scottsdale dated July 27, 2017	120-127
F	Complaint in Stem v. Scottsdale, filed on April 29, 2020	128-139

G	Judge Breyer's Order filed on July 20, 2020	140-154
H	Letter from Grimm to Rosales dated November 17, 2010	155
I	Letter from Reineccius to Thompson dated January 19, 2011	156-158
J	Demand for Arbitration dated February 8, 2011	159-177
K	Arbitration Statement of Claims dated March 14, 2011	178-253
L	Settlement Agreement dated March 28, 2011	254-274
M	2011 Application dated September 13, 2011	275-279
N	List of Scottsdale Policies dated July 18, 2019	280
O	Letter from Grimm to Carrington dated December 31, 2013	281-284
P	Complaint in Delaware Action filed on February 5, 2014	285-307
Q	Master in Chancery's Final Report dated October 13, 2014	308-311
R	Stem Notice of Circumstances dated October 17, 2014	312
S	Scottsdale Response, dated January 23, 2015, to Stem's Notice of Circumstances	313-317
T	Black's Law Dictionary Definition of "Demand Letter," 10th edition 2014	318-321
U	Scottsdale's Responses, dated September 18, 2020, to Stem's Special Interrogatories, Set 1	322-358
V	E-Risk Application - 2014 version	359-378
W	Excerpts of the deposition of David Buzby, taken by Scottsdale on February 9, 2021	379-386

DATED: March 25, 2021

OSBORNE & NESBITT LLP

By: /s/ Gary W. Osborne
 Gary W. Osborne
 Attorney for Plaintiff, STEM, INC.